

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

0163-0707-2X

First Named Inventor or Application Identifier

Kenji MIWA, et al.

Title

METHOD OF REFINEMENT OF MICROSTRUCTURE
OF METALLIC MATERIALS

APPLICATION ELEMENTS

MEP chapter 600 concerning utility application

ADDRESS TO:

Assistant Commissioner for
Patents
Box Patent Applications
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 20]
3. ☒ Drawing [Total Sheets 1]
4. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is
checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure ☐ Copies of IDS Citations
Statement (IDS)/PTO-1449
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Small Entity ☐ Statement filed in prior app,
Statement(s) Status still proper and desired
13. ☐ Certified Copy of Priority Document(s)
14. ☒ Other: Notice of Priority

15. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

16. Amend the specification by inserting before the first line the sentence:

This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. , filed on .

17. CORRESPONDENCE ADDRESS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Kenji MIWA, et al.

Serial No: New Application

Filing Date: HERewith

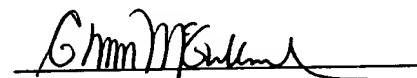
Title: METHOD OF REFINEMENT OF MICROSTRUCTURE OF METALLIC MATERIALS

FEE TRANSMITTALAssistant Commissioner For Patents
Washington, D.C. 20231

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	11 -20=	0	X \$22=	\$0.00
	INDEPENDENT	4 -3=	1	X \$82=	\$82.00
	MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$270=	\$270.00
	LATE FILING OF DECLARATION			+ \$130=	\$
	BASIC FEE				\$790.00
	TOTAL OF ABOVE CALCULATIONS =				\$1,142.00
	Reduction by 50% for filing by small entity				
	<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130=	\$
	<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40=	\$
	TOTAL				\$1,142.00

- ☐ Please Charge My Deposit Account No. 15-0030 in the Amount of . A Duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,142.00 to cover the filing fee is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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